

HEWLETT-PACKARD COMPANY  
Intellectual Property Administration  
P. O. Box 272400  
Fort Collins, Colorado 80527-2400

PATENT APPLICATION

ATTORNEY DOCKET NO. 10006717

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Kevin Collins

Application No.:

Filing Date:

Title: SYSTEM AND METHOD FOR AUDITING ELECTRONIC AUCTIONS

Confirmation No.:

Examiner:

Group Art Unit:



COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:  
☐ Statement under 37 CFR 1.97(e), or  
☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs
- ☐ under 37 CFR 1.97 (d) together with a:  
☐ Statement under 37 CFR 1.97(e), and  
☐ a petition under 37 CFR 1.97(d)(2), and  
☐ a \$180.00 petition fee set forth in 37 CFR 1.17(p).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account 08-2025 the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

"Express Mail" label no. EL 721 098 358 US

Date of Deposit Sept 18, 2001

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Washington, D.C. 20231.

By Steven M. Perry  
Typed Name: Steve M. Perry

Respectfully submitted,

Kevin Collins

By Steven M. Perry  
Steve M. Perry

Attorney/Agent for Applicant(s)  
Reg. No. 45,357

Date: Sept 18, 2001

## PATENT APPLICATION

Sheet 1 of 1

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

10006717

APPLICATION NO.

CONFIRMATION NO.

APPLICANT

Kevin Collins

FILING DATE

GROUP

1c978 U.S. PTO  
09/055670

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	1A	5,835,896	Nov. 10, 1998	Fisher et al		
	1B	6,161,099	Dec. 12, 2000	Harrington et al		
	1C	6,165,072	Dec. 26, 2000	Davis et al		
	1D	6,202,051	Marc. 13, 2001	Woolston		
	1E	6,223,167	Apr. 24, 2001	Alaia et al		
	1F	6,240,396	May 29, 2001	Walker et al		
	1G	6,243,691	June 5, 2001	Fisher et al		
	1H					
	1I					
	1J					
	1K					

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
	1L							
	1M							
	1N							
	1O							
	1P							

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	FTC Consumer Alert! Internet Auctions: Secrets of Success, Pages 1 and 2, and A Guide for Buyers and Sellers, Pages 1 through 6, by Internet Auctions found at <a href="http://www.ftc.gov/bcp/online/pubs/alerts/gonealrt.htm">http://www.ftc.gov/bcp/online/pubs/alerts/gonealrt.htm</a>
	1R	
	1S	

EXAMINER

DATE CONSIDERED